Appalachian Regional Commission (ARC)

March 23, 2016
Northern Tier Municipal Summit
NTRPDC’s Role with ARC

• Multi-county local development districts, such as NTRPDC, provide the local participation and assist in preparing projects for ARC funding.
  • Bradford, Susquehanna, Sullivan, Tioga and Wyoming Counties
  • Assist communities interested in developing their project idea and, if eligible, submitting the project to ARC
**ARC Goals:**

- Goal 1: Economic Opportunities
- Goal 2: Ready Workforce
- Goal 3: Critical Infrastructure
- Goal 4: Natural and Cultural Assets
- Goal 5: Leadership and Community Capacity
ARC Funding Details:

• ARC funding:
  • Almost never more than $200,000-$300,000 per project, and usually less than that. Typically the “last piece of funding” for a project, meaning a project will already have other funding in place and needs the ARC funding to fill the final gap in funding.
  • Match: 50/50; ARC will typically not fund more than 50% of the project
  • Construction Projects: Basic Federal Agency required to administer
  • Non-construction projects
Examples of ARC-Funded Projects in the Northern Tier Region
Projects:

• Sullivan County Broadband Project
  • Construct and install new broadband tower in Laporte
  • Total Project Cost: $375,000
    • ARC Grant: $150,000
    • State: $100,000
    • County: $125,000
  • Basic Federal Agency (BFA): USDA
Projects:

• Bradford County Groundwater Study
  • Test privately-owned domestic-supply wells in Bradford County; establish a quality-assured database of chemical characteristics in the ground water
  • Total Project cost: $369,750
    • ARC: $173,250
    • USGS: $173,250
    • Guthrie: $23,250
  • Non-construction; NO Basic Federal Agency Needed
Projects:

• Wysox Township Municipal Water Project
  • Construct a potable public water system capable of providing the capacity necessary to meet the current and projected water demands of Wysox Township, install infrastructure to facilitate future development in the area, and to ensure the long term adequacy and efficiency of the system.
  • Total Project Cost: $7,794,800
    • ARC: $200,000
    • Federal: $850,000
    • State: $5,000,000
    • Local (USDA Loan): $1,744,800
  • Basic Federal Agency (BFA): USDA
Project Development

• If you have a project that fits within ARC’s strategic goals and you contact NTRPDC, what can you expect?

  • We will ask for some details on your project and help you fill out a 2-page summary with some key information on your project

  • We will walk you step by step through the process involved with ARC and we’ll be your contact with any questions.
ARC Timeline/Process

• Discuss project with NTRPDC – Anytime! The sooner the better!
• Submit project summary to NTRPDC for committee review
  • Spring – April/May
• NTRPDC Committee Review and approval
  • Early summer
• Submission to ARC as a pre-application
  • Mid-Late summer
• ARC invitation for full application
  • December of that same year/January of next year; NTRPDC will help prepare and submit your application
• Award Timeline
  • Depends – non-construction awards typically sooner after application submission; construction projects take longer
To keep in mind....

• If you have a project, don’t wait!!! Contact us immediately.

• Getting a project ready to be able to apply to ARC and be competitive often takes a lot of time.

• Your project might not be a good fit for ARC, but please still contact NTRPDC because if it’s not a good fit for ARC, maybe we can help you explore other funding options for your project.
Contact Us with Questions

NTRPDC Contact:
Rachel Hauser, Economic Development Program Manager
312 Main Street
Towanda, PA 18848

Email: hauser@northerntier.org
Phone: 570-265-1529

Resources:
www.arc.gov
http://www.northerntier.org/comDevelopment.php
2016 Grant Program

Pennsylvania Department of Conservation and Natural Resources (DCNR)
Bureau of Recreation and Conservation (BRC)

Grants for Recreation and Conservation Projects

www.dcnr.state.pa.us
DCNR’s Bureau of Recreation and Conservation Grants (BRC)

Funding sources:

- Keystone Recreation, Park and Conservation Fund
- Environmental Stewardship Fund
- PA Snowmobile/ATV Fund
- Federal Land and Water Conservation Fund (LWCF)
- Federal PA Recreational Trails

www.dcnr.state.pa.us
Grantee Eligibility

- Municipality
  - Councils of Governments
  - Municipal Authorities
  - Municipal Agency
  - Inter-municipal Commissions
- Appropriate/Authorized Organization
- Pre-qualified Land Trusts
- Non-profit Organizations
- For-profit Enterprises

www.dcnr.state.pa.us
Matching Requirements

• SECURED Matching funds

• Typically 50/50 match

• Federal PA Recreational Trail Grant: 80/20 match

• Snow/ATV fund: 80/20 (except acquisition-50/50)

* ?’s: Contact your Regional Advisor and or Refer to our Grant Program Policies: http://www.dcnr.state.pa.us/brc/grants/

www.dcnr.state.pa.us
 Eligible Sources of Matching Funds

**CASH**
- MOST Federal funds
- SOME State funds
- MOST Local funds
- MOST Private funds

**NON-CASH**
- In-house labor
- Donations (Goods and Services)
- Volunteers
- Equipment Use

[Image of a building with the text “Peace Starts Here Together We Can” and drawings of colorful figures]
2016 Grant Program: Approximate Timeline

- Grant program opens January 20, 2016

**DEADLINE to submit application:** 4 p.m., Wednesday, April 13, 2016

- Spring/Summer 2016: Staff Review Process
- Fall 2016: Grant Award Announcement
- First quarter 2017: Grant contract sent to grantee *(later for federally funded projects)*

www.dcnr.state.pa.us
2016 Funding Priorities

1. Community Parks & Recreation
2. Land Conservation
3. Partnerships
4. Rivers Implementation
5. Trails and Greenways
DCNR funded projects must be designed and constructed to meet or exceed the 2010 ADA standards for Accessible Design

- Design consultant should be familiar with current ADA design standards
- Questions?
  
  (email) info@access-board.gov
  (phone) 1-800-872-2253
  (web) www.access-board.gov

www.dcnr.state.pa.us
### Happy Falls Trail

**Length**: 2.3 mi  
**Trailhead Elevation**: 1100 ft  

This is one of the most scenic walks in our park. As you work your way up to the falls, you will be amazed at the spectacular views off the sides of the trail. When you reach the lookout point you will need to take a moment to get a few snap shots with you and your friend in front of the natural canvas. The Falls are 300 ft. above you and cascade down the cliffs.

### Users/Activities

-  
-  

### Other Hazards

- **10 in Dropoff**
- **Poisonous Plants**
- **6 in Rocks**

### Trail Profile

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### Trailhead Location

The trailhead is located off of highway 143, just north of Mono Lake Road. Take highway 143, 7 miles east to Mono Lake Road. Get off on Mono Lake Road north for 2 miles and you’ll see the parking lot for the trail on the left side.

### Trail Access Information

**Abraham Lincoln Birthplace National Historic Site**

This is a sample of trail information that could be distributed at a visitor center or used as trailhead signage.
PNDI Receipt Requirement

**Coming Soon!**

- NEW Web based PNDI tool “PA Conservation Explorer”
- Required for most projects (few exceptions)
- If required, we will accept *either*:
  - PNDI Receipt (proposed fee of 40$) or
  - Conservation Planning Report (free)
- Municipalities will be exempt from paying the PNDI Receipt fee

*PA CONSERVATION PNDI TOOL WILL BE IN AFFECT WHEN OUR GRANT APPLICATION PERIOD OPENS ON JAN. 20TH 2016*

www.dcnr.state.pa.us
Greening Resources

Pennsylvania's Interactive Sustainable Park Design Model Key

- **Park Design Model Key**
- Site Location & Site Design
- Water
- Natural Landscaping
- Materials Selection & Constr.
- Connecting People to Nature
- Operations and Maintenance
- Environ. Stewardship Messaging

**Greening Parks and Sustainable Practices**
The DCNR Bureau of Recreation and Conservation is a strong proponent of green/sustainable parks, trails, recreation facilities and conservation areas. The links below are designed to help communities and organizations achieve green/sustainable practices on their public sites.

- **Green Park Award**
  - To recognize excellence in the public park community for those that demonstrate the integration of green and sustainable park practices.

- **Pennsylvania's Interactive Sustainable Park Design Model**
  - Through this interactive map, explore and learn about green and sustainable amenities, practices and resources visible within a community park setting. Use the scrollbar to search elements. Click on elements and numbers to see more information about each element. Mouse over the words full size map to see an overview of the entire park design.

- **Materials Selection and Construction**
  - Information and resources related to best practices in sustainable site maintenance and development.

- **Conserve Energy - Operations & Maintenance**
  - Information and resources related to energy conservation.

- **Connect People to Nature**
  - Information and resources related to bringing people to nature.

- **Incorporate Landscaping and Trees**
  - Information and resources related to natural landscaping and trees.

- **Manage Stormwater Naturally / Green Infrastructure**
  - Information and resources related to stormwater best management practices.

**Learn More**
- [DCNR Website](http://www.dcnr.state.pa.us)
- [Greening Resources](http://www.dcnr.state.pa.us/GreeningResources)
- [DCNR Bureau of Recreation and Conservation](http://www.dcnr.state.pa.us/BureauOfRecreationConservation)

**Contact Information**
- [Contact DCNR](http://www.dcnr.state.pa.us/ContactDCNR)
- [Privacy Policy](http://www.dcnr.state.pa.us/PrivacyPolicy)
- [Security Policy](http://www.dcnr.state.pa.us/SecurityPolicy)
- [PA State Government](http://www.state.pa.us)

[DCNR Home](http://www.dcnr.state.pa.us)
Ready-To-Go Requirements

• Most competitive projects are “Ready-To-Go”

• Pre-Application Task Lists

• Discuss with your Regional Advisor
Ready-To-Go Requirements
ALL PROJECT TYPES

- Accurate Cost Estimate
- Secured & Eligible Matching Funds
- Ownership and Control
- Clear & Concise Scope of Work
Grant Opportunities: Project Types

1. Community Recreation and Conservation Planning
2. Land Acquisition and Conservation
3. Park Rehabilitation and Development
4. Trails
5. State and Regional Partnerships

www.dcnr.state.pa.us
Step #1. Contact your Regional Advisor to review:

- Project type
- Ready-to-Go Checklist Items
- Project budget
- Proposed Funding Match

www.dcnr.state.pa.us
Contact your Regional Advisor as you develop your project and application

- Review Grant Application Requirements
- Contact Customer Service at 1-800-326-7734 for questions regarding the on-line application process

**APPLICATION DEADLINE:**
Applications must be submitted on-line by Wednesday, April 13th, at 4:00 pm

www.dcnr.state.pa.us
Financing with PENNVEST

Northern Tier Municipal Summit

March 23, 2016
8:30 am - 12:30 pm
Wysox Volunteer Fire Hall

“Resources for Your Community”
SHOW ME THE MONEY

Funding Budget

- Total: $510 million
  - Loans: $300 million
  - Loan Guaranties of $150 million
  - Grants: $60 million
    - Growing Greener
    - Federal SRF’s
    - Marcellus impact fee (Act 13)
    - Minerals extraction royalties (Act 147)
Funding Sources

2012–2013 PENNEVEST Project Funding
(millions of dollars)

- Sewer Loans—174.5
- Water Loans—30.8
- Sewer Grants—22.1
- Water Grants—10.7
- NPS Grants—1.0
- NPS Loans—2.5
- Stormwater Grants—0.0
- Stormwater Loans—0.0

Total PENNEVEST Funding
(millions of dollars)

- Sewer Loans—4,511.5
- Sewer Grants—765.6
- Water Loans—2,142.7
- Water Grants—159.2
- NPS Loans—40.6
- NPS Grants—72.9
- Stormwater Loans—134.9
- Stormwater Grants—7.0
2013/2014 Funding Sources (millions of dollars)

Federal Cap Grants—1,650.6

1981 Referendum—225.4
1988 Referendum—300.0
1992 Referendum—350.0
2008 Referendum—400.0
General Fund—40.9
Capital Budget—150.0
Act 218—50.0
Investment Income—255.3
Growing Greener Grants—236.9
Marcellus Legacy—38.2
ARRA—220.9
Interest Earned on Federal Loans—258.6
Funding Summary

- Projects Approved
  - 3,245
    - 1,007 Drinking Water
    - 1,533 Sewer
    - 474 On-Lot
    - 117 Storm Water
    - 106 Non Point Source
    - 15 Brownfield
    - 3 Acid Mind Drainage

- Total Funding Approved to Date:
  - $7.65 Billion
How Can PENNVEST Help?

Money for Infrastructure Projects

- Drinking Water -- Public/Private
- Wastewater -- Public/Private
- Storm Water -- Public/Private
- Non -- Point Source
  - Ag BMPs -- Public/Private
  - Acid Mine -- Public/Private
  - Brownfield -- Public/Private
  - Green Infrastructure -- Public/Private
  - Nutrient Trading -- Public/Private
  - On-lot systems -- Principal Residence
Drinking Water Projects

- Storage Tanks
- Treatment Plants
- Wells
- Distribution Lines
- Dams
- Capital Contributions
- Acquisitions
Wastewater Projects

- Treatment Plants
- Collection Lines
- Pump Stations
- Capital Contributions
- Acquisitions
Storm Water / NPS Projects

- Transport
- Storage
- Infiltration
- Best Management Practices
- Other Techniques
Categories of NPS pollution projects

- Agriculture
- Urban Runoff
- Abandoned Mine Drainage
- Brownfields
Green Infrastructure Projects

Examples of eligible green infrastructure

- Installation of porous pavement, green roofs and other approaches to managing wet weather run-off
- Street tree and urban forestry programs
- Installation of riparian buffers and wetlands
- Downspout disconnection programs to eliminate storm water from combined sewer systems
- Installation of water meters
- Purchase of leak detection equipment
- Energy efficiency – retrofits and upgrades to pumps and treatment processes
- Installation of water efficient fixtures, fittings, equipment and appliances
So you think you’ve had a rough day. . . .
How Most Projects Develop

- Problems are studied, solutions are identified, and solution selected
- Contact your PENNVEST Project Specialist in your area to set up a Planning Consultation meeting
- At Planning Consultation meeting – PENNVEST and DEP discuss planning, permitting and environmental clearances needed for project. Also talk about your ranking and funding scenario – and other program requirements
- Project designed and all DEP permits in hand (or soon will be)
- Complete and submit PENNVEST online application
- Once PENNVEST award is accepted go out to bid
- Complete settlement on PENNVEST funding within 6 months of Board approval
- Start Construction
- All Phases of PENNVEST funding completed online
The PENNVEST Board meets quarterly with application cut off dates roughly 9 weeks prior. The 2015-2016 meeting schedule will be adopted at the April meeting. The next scheduled date to submit applications:

- **Application Cut-off Dates**
  - February 17, 2016
  - May 11, 2016
  - August TBD, 2016
  - November TBD, 2016
  - February TBD, 2017

- **Board Meeting Dates**
  - April 20, 2016*
  - July TBD, 2016
  - October TBD, 2016
  - January TBD, 2017
  - TBD

The April 20, 2016 Board meeting will establish the next Fiscal Year program dates.
Project Review/Priority

- **DEP**
  - Public Health, Safety, Environment, Compliance, Adequacy & Efficiency
- **DCED**
  - Economic Development, Community Action Team
- **PENNVEST**
  - Land Use, Infill, Financial Capability, Funding Offer and Collateral
Funding Determination

User Rate Projection based on County Cap Rate and compared with community Target Rate

- If resulting rate below target – all loan
- If resulting rate above target – hardship

Hardship considerations

- Reduced interest rate to 1.000% for full term
- Grant funds – grants are often prorated
- Loan term stretched up to 30 years

PENNVEST will extend the best possible funding offer.

- No guarantees on hitting Target Rate
- In some cases you may need to leverage other sources if affordability is an issue
Special Situations

Trouble Water or Sewer Systems
- PENNVEST can provide special incentive financing to consolidate troubled systems
- “White Knight” – A larger well established system takes over troubled system

Regionalization – Consolidation
- A larger existing system extends service into a new municipality for the first time

Advance Funding for Studies and Design
NPS Funding Determination

Based upon the land owner / benefactor capacity

Factors considered:

Private (loan only) – Revenues, available funds and the impact of debt service

Governmental – Unrestricted cash and investments, operating expenses and cash flow as related to debt service.

For NPS and traditional storm water may consider sanitary sewer user rate impact
## County CAP Interest Rates

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Evaluation Tools

Financial Assistance Simulator (FAS)

This Financial Assistance Simulator (FAS) allows you to produce an unofficial, hypothetical estimate of what PENNVEST’s financial assistance offer might be, given the project-specific assumptions that you use as inputs to the simulator. Any estimates that are so generated are merely general guides to what a potential funding offer might be.

Please note that users of this simulator are responsible for checking the accuracy, completeness, currency and suitability of all information that is used to generate the estimate. PENNVEST makes no representations, guarantees, or warranties as to the accuracy, completeness, currency, or suitability of the information provided via this simulator.

Click here to view instructions for FAS

Project Options

- County: --Select County--
- Ownership: Public
- Sub-Type: Sewer/Storm

Parameters

- Total Project Cost: 
- Contributions: 
- Equity: 
- TAP Fees: 
- Previous Advance Funding: 
- O & M Cost: 
- Debt Cost: 
- Percent Residential: 
- No. of Households Served: 
- Current User Rate: 

Calculate  Reset
Compare Subsidy Calculator

Use this calculator to compare the subsidy value of a loan from PENNVEST compared to a loan from other sources. This will perform debt service comparisons.

Amount to be Financed ($): [Blank]
Non-Pennvest Financing:
Interest Rate (Annual): [Blank] %
Loan Term (Years): [Blank]
Pennvest Financing:

County: [--Select County--]

You can modify following interest rates to be different than default values for given county.

Interest Rate (Annual) - First Period: [Blank] %
Interest Rate (Annual) - Second Period: [Blank] %
Loan Term (Years): [Blank]

Calculate
Reset
How Most Projects Develop

- Problems are studied, solutions are identified, and solution selected
- Contact your PENNVEST Project Specialist in your area to set up a Planning Consultation meeting
- At Planning Consultation meeting – PENNVEST and DEP discuss planning, permitting and environmental clearances needed for project. Also talk about your ranking and funding scenario – and other program requirements
- Project designed and all DEP permits in hand (or soon will be)
- Complete and submit PENNVEST online application
- Once PENNVEST award is accepted go out to bid
- Complete settlement on PENNVEST funding within 6 months of Board approval
- Start Construction
- All Phases of PENNVEST funding completed online
Funding Cap - $11M for one municipality; $20M for two or more

Interest Rates – 1%–2.179%

Grants - tied to affordability considerations

Letter of No Prejudice

Loan Terms - 20 Years, 30 Years under certain circumstances
New – Loan Guaranty Credit Enhancement Program

- Loan Guaranty to help reduce borrowing costs
- Use PENNVEST credit rating to secure local debt
- Bond or Bank Financing
- Must meet eligibility standards
Update – On-Lot Program

- Repair, replace malfunctioning on-lot system of PA owner-occupied primary residential property
- Loans up to $25,000, 1.75%, 20 year term
- Homeowner applies through participating lender
- A reimbursable application fee of $65
- Loans secured by a mortgage lien on borrower’s home

NEW – Program being expanded to include one time lateral and tapping costs to connect to community sanitary sewer system

NEW – more participating lenders
Nutrient Trading

- **Nitrogen and Phosphorus Credits**
  - Chesapeake Bay Only
  - Determined by DEP based upon water quality impacts
- **Auctions Conducted by PENNVEST**
  - Value determined by auction process
- **PENNVEST Provides security to both buyer and seller**

Nutrient Trading Specialist
Rob Boos
717-783-4493; rboos@pa.gov
Thank You

David Henning, PENNVEST Project Specialist
dahenning@pa.gov
717-783-4490
Downtown & Neighborhood Revitalization
Pennsylvania Downtown Center

• Statewide nonprofit organization
• Provides outreach, technical assistance, and educational services to assist communities in revitalizing their central business districts and surrounding residential neighborhoods
• State partner with the PA Department of Community and Economic Development (DCED) for Keystone Main Street & Elm Street designated program communities
• State Coordinating agency with the National Main Street Center/National Trust for Historic Preservation
Mission of the PA Downtown Center

...to build and support the capacity of local non-profit organizations, municipalities and individuals to enhance the overall well-being and sustainability of Pennsylvania’s core communities.
Main Street: What Is It?

• A Registered, Trademarked Revitalization Methodology of the National Trust for Historic Preservation
• Includes a National Network of State Coordinating Programs and Local Main Street Organizations
• Been In Existence Since 1980
Main Street Approach®

- **Design** - getting downtown into top physical shape
- **Organization** - creating consensus and cooperation amongst downtown stakeholders
- **Promotion** - marketing the best attributes of a downtown
- **Economic Vitality** - establishing new uses for downtown while creating new assets and better utilizing existing assets
Main Street: How Has It Performed?

• From 1980 Through 2014...
  – Dollars Reinvested $61.7 Billion
  – Net Job Gains 528,557
  – Number of Buildings Rehabbed 251,838
  – Net Business Gains 120,510
  – Net Private to Public Investment Ratio $26 to $1
Elm Street: What Is It?

• A Pennsylvania Specific Neighborhood Revitalization Methodology.
• Based on The Main Street Approach™ But Includes Safe-Clean-Green As A 5th Point.
• Some Version Adopted In Maryland & Louisiana
• Being Considered for Adoption by Main Street Center
• Been In Existence Since 2004
Elm Street (PA) Five Point Approach

- **Design** - getting the physical conditions of a neighborhood into top shape
- **Sustainable Organization** - establishing an ongoing structure to support revitalization
- **Image and Identity** - marketing the community to internal and external markets
- **Neighbors and Economy** - strengthening and creating access to economic resources for people living in the community
- **Safe, Clean and Green** - establishing strategies and partnerships to improve public safety and improve the environment of the neighborhood
Keystone Community Programs

• Keystone Main Street (single or multi-municipal)
• Keystone Elm Street
• Keystone Community (with a Main Street or Elm Street focus)
• Keystone Enterprise Zone

For more information, visit: www.newpa.com
Funding for Designated Programs

- Planning Grant
  - $25,000

- Implementation Grant (Designated Communities only)
  - $50,000

- Façade Grants
  - $5,000/property & up to $250,000/community; 1:1 match

- Redevelopment Grant-to-Loan/Anchor Building Grant
  - Up to $500,000/30% grant to loan

- Public Improvement Grant
  - Up to $500,000; 1:1 match
Keystone CORE Services

• A subsidiary of Pennsylvania Downtown Center
• Real estate technical assistance
  – Site Assessment Visits
  – Site Feasibility Studies
    • Developers’ RFP
  – Conservatorship Assistance
  – Blight Removal Assistance
    • Small Site Brownfields
    • Phase 1 & Phase 2
Nature-Based Placemaking (NBP)

Developing the Concept:

• Moving forward and applying lessons learned from past initiatives & programs
  – What has worked? Why?
  – What hasn’t worked? Why?
• Nature-Based Placemaking (NBP) is the next generation of a nature-based revitalization strategy
The concept blends a variety of theories and approaches into a strategic, thoughtful, and practical revitalization program:

- PADCNR’s Conservation Landscapes
- PA Heritage Areas – River towns/Trail towns
- Main Street Four-Point Approach®
- PA Elm Street Five-Point Approach
- Civic Tourism (Dan Shilling)
- Ecological Economics/Triple Bottom Line Theory
Nature-Based Placemaking (NBP)

- The interaction and integration of a community’s natural assets, economic activity around those assets, and the culture of the community towards both the assets and activity.
- NBP occurs when all of these areas of focus are working in cooperation and conjunction with the other, creating the Total Quality Experience (TQE)
Nature-Based Placemaking & the Total Quality Experience

- Recreational Asset (Nature)
- Economic Activity (Profit)
- Community Culture (Place)
Nature-Based Placemaking 2.0

• NBP Handbook and Assessment tool released before Summer 2016
• Will be looking for possible pilot projects and programs for next phase
2016 Statewide Community Revitalization Conference
Hosted by Pennsylvania Downtown Center
CREATING ECONOMIC VITALITY
JUNE 12-15, 2016
Allentown PA • padowntown.org
Thank you!
Are there any questions?

Please email: padowntown@padowntown.org
or visit: www.padowntown.org
PennDOT Competitive Funding Programs
Programs

• Transportation Alternatives Program (TAP)
  • Federal Highway Administration (FHWA) Funded – Administered by PennDOT
  • Continued by the new Federal Transportation Legislation (FAST Act - 2015)

• Multimodal Transportation Fund
  • PennDOT Funded and Administered
  • Established with the current State Transportation Legislation (Act 89 – 2013)

• Automated Red Light Enforcement Program (ARLE)
  • Philadelphia Parking Authority Funded – PennDOT Administered
  • Established in 2011-2012 Pennsylvania Vehicle Code (Act 84)
Transportation Alternative Program

• Statewide Competitive Funding Availability
  • Funding varies but shall be no less than $9-million statewide ($13 – Million 2015)

• Federal Cost Reimbursement Program

• Combines previously separated programs
  • Transportation Enhancements, Safe Routes to Schools, Scenic Byways, Recreational Tails

• Eliminated eligibility of some project types, categories, and sponsors
Project Sponsors

- Municipalities
- Counties
- School Districts and Colleges
- Transit Agencies (BeST)
- Natural Resource and Public Land Agencies
- Tribal Governments
- Government Agencies Overseeing Transportation or Recreational Trails
  - Municipal Authorities, Rec-Trail Authorities, Urban Redevelopment Authorities
Eligible Projects

• Bicycle and Pedestrian Facilities
  • Bike Lanes, Sidewalks, Walkable Communities (Streetscapes)
• Bicycle and Pedestrian Education (K-8 only)
• Rails to Trails Conversions
  • D & H Rail Trail – Susquehanna County
• Construction of Turnouts, Overlooks and Viewing Areas
  • Marie Antoinette Lookout – Bradford County
• Historic Preservation and Rehab of Historic Transportation Facilities
  • Nicholson Train Station – Wyoming County
Application Process

• Pre – Application Determines Eligibility
• Full Application Focus Areas
  • Safety
  • Deliverability (Shovel-Ready Requirement)
  • Partnerships
  • Scope of work
  • Budgeting
    • Budget is reasonable and accurate with considerations to the DOT Process (ROW, Utility, Environmental)
    • $50,000 Minimum - $1,000,000 Maximum for Construction Projects
Multimodal Transportation Fund (MTF)

• Statewide Competitive Funding – Established with Act 89

• 30% Local Matching Funds Required (Cash or Land Value)

<table>
<thead>
<tr>
<th>Multimodal Fund</th>
<th>FY 2013-14</th>
<th>FY 2014-15</th>
<th>FY 2015-16</th>
<th>FY 2016-17</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aviation</td>
<td>$5 million</td>
<td>$6 million</td>
<td>$6 million</td>
<td>$6 million</td>
</tr>
<tr>
<td>Rail Freight</td>
<td>$8 million</td>
<td>$10 million</td>
<td>$10 million</td>
<td>$10 million</td>
</tr>
<tr>
<td>Passenger Rail</td>
<td>$6 million</td>
<td>$8 million</td>
<td>$8 million</td>
<td>$8 million</td>
</tr>
<tr>
<td>Ports and Waterways</td>
<td>$8 million</td>
<td>$10 million</td>
<td>$10 million</td>
<td>$10 million</td>
</tr>
<tr>
<td>Bicycle and Pedestrian</td>
<td>$2 million</td>
<td>$2 million</td>
<td>$2 million</td>
<td>$2 million</td>
</tr>
<tr>
<td>PennDOT Discretionary</td>
<td>$0</td>
<td>$20 million</td>
<td>$40 million</td>
<td>$40 million</td>
</tr>
<tr>
<td>Commonwealth Financing Authority</td>
<td>$0</td>
<td>$40 million</td>
<td>$85 million</td>
<td>$85 million</td>
</tr>
<tr>
<td>Discretionary</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL Projected Funds</td>
<td>$29 million</td>
<td>$96 million</td>
<td>$151 million</td>
<td>$161 million</td>
</tr>
</tbody>
</table>

Note that PennDOT’s Multimodal program is separate from the Multimodal Transportation Fund administered by the Commonwealth Financing Authority.
Project Sponsors

• Municipal Governments
• Council of Governments
• Business/Non-Profits
• Economic Development Organizations
• Public Transit Agencies
• Port or Rail/Freight Entities
Eligible Projects

• Projects which coordinate local land use with transportation assets
  • Projects which enhance existing communities
  • Streetscapes
  • Projects improving connectivity or uses of existing transportation facilities
  • Land Acquisitions for ROW or Easements
Applications Considerations

• Pre-applications determine eligibility
• Project Minimum $100,000 and shall not typically exceed $3,000,000
• Matching Funds must be Secured (30%)
• Commencement of work prior to PennDOT approval will result in the project being ineligible for funding
• Project Scope
• Budgeting
Automated Red Light Enforcement Program (ARLE)

- PennDOT Administered Funding
- Funding Generated by ARLE Locations in First & Second Class Cities and other selected areas throughout Pennsylvania

<table>
<thead>
<tr>
<th>Fund Year</th>
<th>Number of Projects</th>
<th>Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>128</td>
<td>$16.8 million</td>
</tr>
<tr>
<td>2011</td>
<td>27</td>
<td>$3.0 million</td>
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<tr>
<td>2012</td>
<td>47</td>
<td>$4.7 million</td>
</tr>
<tr>
<td>2013</td>
<td>32</td>
<td>$8.8 million</td>
</tr>
<tr>
<td>2014</td>
<td>41</td>
<td>$6.6 million</td>
</tr>
</tbody>
</table>
Project Sponsors

• Municipalities
• Local Authorities
• PennDOT Planning Partners (MPO/RPO’s)
• County Planning Entities
• Commonwealth Agencies
Eligible Projects

- Upgrades to existing signals
- Removal of unwanted/unnecessary signals
- Retiming
- Interconnection of signals
- Signal Energy Efficiency Upgrades
- Detection Systems
- Capacity Upgrades (Turn lanes)
- Revised Signage and Pavement Markings
- School Zone Designations
- Pedestrian Safety Improvements
Application Considerations

• Matching Funds not Required
• Budget
• Scope of Work
• Project Timeline
Takeaways

• Plan Ahead
  • It is never too early; Coordinate with you local, county and regional planning entities to be sure you’re projects are included in planning updates

• Project Deliverability
  • Choose a consultant that is familiar with the PennDOT Process
  • “Shovel Ready” projects typically rank much higher

• Match is important
  • Match must be secure and not conceptual

• Project Scoping
  • Be sure to clearly state the benefits of the project and do not make assumptions
  • Be sure to state links to local and regional planning efforts
  • Safety is important
Resources

• Local RPO Contacts
  • Brian Baker
  • Matt Williams
  • Ethan Sexton

• PennDOT Competitive Funding Site (TAP and MTF)
  • https://spportal.dot.pa.gov/Planning/AppReg/Pages/default.aspx

• PennDOT Traffic Signal Portal (ARLE)
  • http://www.dot.state.pa.us/Portal%20Information/Traffic%20Signal%20Portal/FUNDARLE.html
Questions?
USDA Rural Development
Northern Tier Municipal Summit
The role of Rural Development is to increase rural residents’ economic opportunities and improve their quality of life.
USDA Rural Development is committed to the future of rural communities

- Community Facilities
- Water & Environmental Programs
Community Facilities (CF) Program
CF Program Eligibility

- Eligible applicants include all levels of municipal government, charitable non-profits, schools and libraries.
- Boroughs and Municipalities population of 20,000 or less
- **All Townships in PA are eligible**

- Essential Service To The Local Community
- Needed For The Orderly Development Of A Community
- May NOT Include Private, Commercial, or Business Projects
- Must Have Significant Community Support
- Environmental Review Must be Completed/Acceptable
CF Funding Types

- Direct Loans
- Guaranteed Loans
- Grants
Direct Loans

• Loans Made to Borrowers Directly from USDA
• Applicant Unable To Obtain Credit Elsewhere at Reasonable Rates & Terms

• Fixed Interest Rate For Life Of Loan - Currently 3.125%
• Up to 40 Year Term Or Useful Life of Facility

• Security: Real Estate, Machinery & Equipment, General Obligation Bonds, UCC-1 Financing Statements
Guaranteed Loans

- Loans Made To Borrowers from Private Lenders and Guaranteed by USDA
- Up To 90% Guarantee Against Loss
- Interest Rate & Terms Negotiated Between Lender and Borrower
- Lender responsible for determining credit quality and economic feasibility
- Flexible Terms Allow Lenders To Offer Long Term Loans
- One Time 1% Guarantee Fee Charged - may be included In Loan
Grants

- Based on MHI and population
- Used to Cover a Portion Of Project Cost
- Funds very limited
- Assistance targeted to smaller communities with very low MHI’s
## 2015 CF Funding

<table>
<thead>
<tr>
<th>Funding Type</th>
<th>Amount</th>
<th># of Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Direct Loans</td>
<td>$182,327,220</td>
<td>34</td>
</tr>
<tr>
<td>Guaranteed Loans</td>
<td>$ 16,950,000</td>
<td>1</td>
</tr>
<tr>
<td>Grants</td>
<td>$ 683,800</td>
<td>23</td>
</tr>
</tbody>
</table>
Project Examples

• Fire Halls and Trucks
• Police Stations and Cruisers
• Ambulances
• Theaters and Museums
• Streets and Sidewalks
• Bridges
• Nursing Homes
• Hospitals and Medical Centers
• Assisted Living Centers
• Animal Shelters

• Food Banks
• Libraries
• Universities
• Public and Charter Schools
• Day Care Centers
• Courthouses
• Municipal Buildings
• Community Centers
• Airports
• Homeless Shelters
Dormitories

Mansfield University
Firehouses

A.F. Dobler Hose & Ladder - Girard, PA
Hospitals

Endless Mountains Health Systems – Montrose, PA
Leg Up Farm – Mt. Wolf, PA
Vehicles

Mount Caramel Borough
Honesdale Borough Police
Keystone Fire Company – McAdoo, PA
Food Banks

Trehab Center – Montrose, PA
Libraries

Milton Public Library
Nursing Homes

Mars Holdings Alzheimers Center
Private Industry Council Head Start of Westmoreland/Fayette
WATER AND ENVIRONMENTAL PROGRAM
DIRECT LOANS AND GRANTS
Pennsylvania Water and Waste Investments FY 2009-2015

• Rural areas rely on USDA, Rural Development to finance water and wastewater infrastructure improvements.
• Funding since 2009 has resulted in 444,413 rural residents receiving new or improved water and waste disposal service.
• PA Rural Development has invested in excess of $385 million in loans and $119 million in grants since 2009 and leveraged another $128 million from other funding sources.
ELIGIBILITY CRITERIA

• Public Entities – Townships, Boroughs, Municipal Authorities and Non-Profit Organizations
• Rural areas with populations of less than 10,000
• Unable to obtain commercial credit at reasonable rates and terms
• Have the legal capacity to borrow and repay the loan, pledge security, own and operate the proposed system
LOAN/GRANT PURPOSES

- Drinking Water
- Sanitary Sewer
- Solid Waste Disposal
- Storm Drainage
LOAN/GRANT PURPOSES

- WATER - Construct, repair, improve or otherwise modify rural water supply and distribution lines including hydrants, pumping stations, treatment and storage

- WASTEWATER - Construct, repair, improve or expand wastewater collection, pumping, treatment or other disposal facilities
LOAN/GRANT PURPOSES

- STORM WATER - Construct, repair, improve, expand or modify storm water systems including storm water collection lines, culverts, retention ponds, wetlands
- Related soft costs - engineering, legal, rights of ways, land acquisition
- Refinance of existing debt if assists with financial feasibility and is a small portion of the project being funded.
INTEREST RATES

Interest Rates determined by Median Household Income (MHI) of Service Area
MHI Based on ACS 5 Year Data 2006-2010.

Current Rates

**Poverty** 1.875% - MHI < $42,886
(Project must also have a health and sanitary mandate)

**Intermediate** 2.500% MHI < $53,608

**Market** 3.125% MHI > $53,608
LOAN TERMS

- Useful life of facility,
or
- State law limitation,
or
- 40 years, whichever is shorter
WATER AND WASTEWATER GRANTS

• Grants made in conjunction with direct loans
• Achieve reasonable user rates
• Priority to smallest, most needy communities
• Priority to help communities with health and sanitary issues and State and Federal regulatory agency compliance issues
FUNDING PRIORITIES

• High Poverty Areas – Census tracts that have 20% poverty or greater

• Underserved Areas – includes areas with no service or severely inadequate service as well as areas where RUS loan or grant has not been obligated in the last 5 years

• Leveraging the cost of the project with applicant contribution and other funding providers
2015 WEP FUNDING

<table>
<thead>
<tr>
<th>Funding Type</th>
<th>Amount</th>
<th># of Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Direct Loans</td>
<td>$57,262,000</td>
<td>14</td>
</tr>
<tr>
<td>Grants</td>
<td>$16,864,800</td>
<td>10</td>
</tr>
</tbody>
</table>
WATER AND WASTEWATER FUNDING
Fiscal Year 2016

• State Appropriation
  $39,460,000 Loan/$11,345,000 Grant
• National Appropriation
  $1.2 billion loan/$328.7 million grant
• No Waiting List
• APPLICATIONS ACCEPTED YEAR ROUND
APPLICATION PROCESS

• Initial Planning Meeting – Recommend a joint meeting with RUS, PENNVEST, DEP to determine appropriate funding source for project
• Initial Application
• Full application submitted including PER and UER
• Funding Commitment and Loan Approval
• Design Stage/Bidding/Contract Award
• Interim Financing - Construction Phase
• Closing
• Servicing
Pennsylvania USDA RD Website:

http://www.rd.usda.gov/pa

National USDA RD Website:

http://www.rd.usda.gov/
Endless Mountains Heritage Region

Northern Tier Regional Planning & Development Commission

Northern Tier Municipal Summit - March 23rd, 2016
Annette Schultz, Executive Director
DCNR Heritage Area Goals

- Heritage Tourism/ Economic Development
- Historic/ Cultural Resources
- Education & Interpretation
- Natural Areas/ Outdoor Recreation
- Partnerships
Grant Funding for Heritage Projects Since 1998

Bradford - $746,000
Sullivan - $210,000
Susquehanna – 484,000
Wyoming - $387,000
Recreation & Heritage Tourism

Starrucca Viaduct

Endless Mountains Trail
Clifford Children’s Garden
Program: Greenways & Trails
Outdoor Recreation Assets
Program: Agritourism & Buy Fresh, Buy Local
Experience Northeastern Pennsylvania

Wyoming County

Bradford County
Future Projects & Priorities

• Wayfinding & Interpretation
• Trails & Greenways
• River Towns
• Driving Tours
• Agritourism
• “Heritage Month”
Funding Heritage Development

- Heritage Assets
- Vision/ Multiple Objectives
- Acknowledge the Heritage Region